

*SECRET ORG*

## FORMALITIES LETTER



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UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/532,208	03/22/2000	Douglas A. Hahn	A00554/11510-00013

John S Paniaguas  
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 Suite 1600  
 525 West Monroe Street  
 Chicago, IL 60661



Date Mailed: 05/25/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/21/2000 GTEFFERA 00000036 09532208

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130.00 DP

PATENT APPLICATION

Attorney Docket No.: 11510-00013

Date: August 11, 2000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Hahn, et al.

Serial No.: 09/532,208

Filed: March 22, 2000

For: Web-based Network Monitoring Tool

CERTIFICATE OF MAILING

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on this date.

8-14-00 John S Ponavige  
Date Registration No. 31,051  
Attorney for Applicant(s)

RESPONSE TO NOTICE TO FILE MISSING PARTS

Box Missing Part

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts dated May 25, 2000 enclosed are:

- (X) A copy of the Notice to File Missing Parts.  
(X) Declaration for Patent Application, including Power of Attorney.  
(X) Assignment(s) of the invention to SBC Technology Resources, Inc. and Recordation Form Cover Sheet - Patents Only - Form PTO-1595.  
( ) Verified Statement Claiming Small Entity Status  
(X) A check in the amount of \$40.00 to cover the fee for recording the assignment(s).

Fee Calculation for Claims As Filed

a) Basic Fee	\$ 770.00	\$ 0.00
b) Independent Claims 3 - 3 = 0 x \$ 80.00 =	\$ 0.00	\$ 0.00
c) Total Claims 16 - 20 = 0 x \$ 22.00 =	\$ 0.00	\$ 0.00
d) Fee for Multiply Dependent Claims \$260.00	\$ 0.00	\$ 0.00
e) Surcharge under 37 C.F.R. §1.16(e) \$130.00	\$ 130.00	\$ 130.00
Total Filing and Surcharge Fee	\$ 130.00	\$ 130.00

- ( ) Small Entity Fee (reduced by half). \$ \_\_\_\_\_  
( ) Statement(s) of Status as Small Entity.  
(X) A check in the amount of \$130.00 to cover the Filing and Surcharge fee is enclosed.  
( ) Charge \$ \_\_\_\_\_ to Deposit Account No. 50-1214.  
(X) The Commissioner is hereby authorized to charge any additional fees which may be required in this application under 37 C.F.R. §§1.16-1.17 during its entire pendency, or credit any overpayment, to Deposit Account No. 50-1214. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-

dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 50-1214. This sheet is filed in triplicate.

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By: John S. Paniaguas  
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